

Transfer request

Investment

Please complete these instructions in **BLACK INK** using **BLOCK** letters.

Please complete this form when you want to:

- Transfer investment(s) held in one or more Investment Service account ('source account/s') to one or more Investment Service accounts ('target account/s')
- Consolidate account/s

This form can also be used to transfer within an Investment Service or from one Investment Service to another for one of the listed services below:

- MLC Navigator Investment Plan
- MLC Wrap Investments
- Portfoliofocus – Premium Investment Service
- FC ONE Investment Builder
- blueprint Investment Plan
- Enevia Platinum Portfolio Investment Solution
- St Andrew's Investment Plan (closed to new business)

The above Investment Services are operated by Navigator Australia Limited ABN 45 006 302 987 AFSL 236466.

Mailing details

**Please mark: 'Private & Confidential'
and send to:**

MLC Navigator
In specie Transfers
GPO Box 2567
Melbourne VIC 3001

Please note:

- original forms are required, copies cannot be accepted

Important notes

- The transfer cannot be completed until all documentation is received and correct
- The transfer cannot proceed while active transactions exist on the source account
- While the transfer is being processed no transactions can occur on the source account
- To enable us to process a request to transfer investments into an account in the **Core Investment List**, 100% of the investments selected for transfer must be on the Core Investment List.

1. Type of transfer

Please specify if there is a change of beneficial ownership.

- Change of beneficial ownership
- No change of beneficial ownership

Note: Where there is a change of beneficial ownership:

A change of beneficial ownership may trigger a Capital Gains Tax (CGT) event in the source account.
CGT may become payable.

2. Tax optimisation upon transfer of investments

(only applicable if change of beneficial ownership)

Please select the desired tax optimisation method for investment parcel transfer from the source account.

- Highest Cost First Out (HCFO)
- First In First Out (FIFO)

Note: Once the transfer is complete, the default tax optimisation method chosen for the target account remains in place if different to that chosen above for the source account.

3. Details of transfer

Please specify what transfer scenario this is:

A. Individual investor account consolidation

- Partial consolidation (selected investments consolidated into one account)
Proceed to Section 6
- Full consolidation (all accounts consolidated into one account)
Proceed to Section 7

B. Individual account/s (partial or full transfer)

Proceed to Section 4

C. Individual account/s to Joint account (full transfer)

Proceed to Section 5

D. Individual account accepts another individual account

Proceed to Section 7

E. Other

Please provide details:

Proceed to Section 4

4. Full or partial transfer

- Full transfer – all investments in source account(s)
- Partial transfer – selection of investments in source account(s)
-

5. Transfer – target account

Is this consolidation/transfer to a new account?

- No Before completing and signing this form:
- complete Section 6 (only if partial transfer selected in Section 4 above)
 - complete Section 7 and 8
- Yes Before completing and signing this form:
- complete Section 6 (only if partial transfer selected in Section 4 above)
 - complete Section 7
 - a new application form for the target account/s, contained in the relevant Investor Directed Portfolio Service Guide or Service Guide (MLC Wrap) will need to be completed and accompany this form.
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6. Consolidation/transfer details for partial transfers

Investments to consolidate/transfer

Source one account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Proportion

Please specify only one type for each fund/
share

Investment fund / Share name	APIR/ASX Code	No of units	or	Amount \$	or	%

Source account two (if applicable)

(You need to complete the table below)

Source two account number

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Proportion

Please specify only one type for each fund/
share

Investment fund / Share name	APIR/ASX Code	No of units	or	Amount \$	or	%

Target account one

Target one account number

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Target account two (if applicable)

Target two account number

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Please list the specific investments to be transferred to this account

Investment fund / Share name	APIR/ASX Code

7. Transferor details (source account/s)

Source account one

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

 State Postcode

Investor telephone number

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Source account two

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

 State Postcode

Investor telephone number

()

8. Transferee details (target account/s)

Existing account(s)

Target account one

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

 State Postcode

Investor telephone number

()

Target account two

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

 State Postcode

Investor telephone number

()

9. Transferor/Transferee Declaration

- (a) Where the transfer is to a new account, I/we have read and understood the current Investor Directed Portfolio Service Guide ('IDPS Guide') for the Investment Service to which this new application relates, and the Applicant Declarations in the relevant IDPS Guide and Financial Services Guide.
- (b) Where the transfer is to a new MLC Wrap account, I/we have read and understood the current Service Guide for MLC Wrap Investments to which the new application relates, including the Investor declarations in the Application form for MLC Wrap Investments.
- (c) I/we release and indemnify Navigator Australia Limited ABN 45 006 302 987 AFSL 236466 (the 'Operator') from and against any costs, losses, liabilities or other expenses as a result of:
 - (i) The Operator acting upon any information and/or instructions contained in or attached to this form; and
 - (ii) The Operator processing the consolidation or transfer of the assets specified in Section 6 or contained in the account/s specified in Section 7.

Consolidation

- (a) I/We are the registered holder(s) and undersigned Transferor of the consideration in Section 6 (partial transfer) or contained in the account specified in Section 7 (full account transfer), and hereby transfer to the Transferee(s) named in Section 8 the investments specified in Section 6 or in the account/s specified in Section 7.

Transfer (partial or full)

- (a) I/We are the registered holder(s) and undersigned Transferor of the consideration in Section 6 (partial transfer) or Section 7 (full account transfer) and hereby transfer to the Transferee(s) named in Section 8 the investments specified in Section 6 or in the account/s specified in Section 7.

Fees & Account Facilities

- (a) If the transfer is to an existing target account, I/we confirm that the fee structure applied on the target account will be retained on completion of the transfer.
- (b) If the transfer is to a target account that will be a new account, I/we confirm that the fee structure agreed on the target account is specified in the new business application form for the target account.
- (c) Unless otherwise stated, all account facilities on the target account will be retained on completion of the transfer.
- (d) If a margin loan exists for the source account, I/we confirm that approval has been received from the margin lender and is provided with this completed form.
- (e) If the fee structure on the source account is a deferred entry fee;
 - this fee structure will be transferred to the target account if the transfer is to an MLC Navigator, Portfoliofocus – Premium, blueprint, FC ONE, Enevia or St Andrew's account;
 - this fee structure will cease and an exit fee charged if the transfer is to an MLC Wrap Investments account
- (f) If the Core Investment List has been selected on your target account, but an option outside the Core Investment List is held, I/we accept that we will pay the administration fees applicable to the full Investment List.

No change in beneficial ownership declaration

In signing, I/we acknowledge that in relation to the transfer of the investment funds and/or shares listed in Section 6 or contained in the account/s specified in Section 7 of this form:

- (a) I/we, the registered holder(s) and undersigned Transferor(s) of the listed consideration, hereby transfer to the named Transferee in Section 8, the investment funds and/or shares as specified in Section 6.
- (b) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 will not result in a change of beneficial ownership and the units or shares transferred will be held by the Operator on behalf of the same beneficial owner.
- (c) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 are not part of a scheme under which beneficial ownership may or will pass.
- (d) Any transaction/parcel history previously provided for investment fund/s and/or shares are accurate.

Change in beneficial ownership declaration

In signing, I/we acknowledge that in relation to the transfer of the investment funds and/or shares listed in Section 6 or contained in the account/s specified in Section 7 of this form:

- (a) I/we, the registered holder(s) and undersigned Transferor(s) of the listed consideration, hereby transfer to the named Transferee in Section 8, the investment funds and/or shares as specified in Section 6.
- (b) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 are a change of beneficial ownership and the units or shares transferred will be held by the Operator on behalf of a new beneficial owner
- (c) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 are part of a scheme under which beneficial ownership may or will pass.

Declaration

By signing this form I hereby instruct Navigator Australia Limited ABN 45 006 302 987 AFSL 236466 as the Operator of my/our existing account(s):

- To transfer the balance of my/our existing account(s) to the target account specified in Section 8 of this form

10. Privacy declaration

By completing this form, I/we consent to any personal and sensitive information that is collected being disclosed as outlined in the MLC privacy policy which is found on mlc.com.au

11. Transferor Signature

Transferor one

Full name of Investor one

Signature of Investor one

Date

Transferor two (if applicable)

Full name of Investor two

Signature of Investor two

Date

12. Transferee Signature

Transferee one

Full name of Investor one

Signature of Investor one

Date

Transferee two (if applicable)

Full name of Investor two

Signature of Investor two

Date

13. Adviser Declaration

I confirm that:

- if the transfer is to an existing account (target account) that the fee structure applied on the target account will be retained on completion of the transfer;
- I have read and understood, and make the declarations as detailed in the relevant IDPS Guide for the relevant Service.
- for MLC Wrap Investments – I have read and understood, and make the declarations as detailed in the current Service Guide.

Financial adviser signature

Date

14. Adviser details

Adviser name

Adviser code

Office

Dealer group

Contact name

Contact phone number