

US tax status declaration individuals

Account/Policy number

You can also arrange for your financial adviser to confirm your foreign tax status. If so, your financial adviser can also complete the appropriate FSC form.

We respect your privacy and handle your information in accordance with our Privacy Policy. The Insignia Financial Group's Privacy Policy is available at mlc.com.au/privacy

1. What you should know before completing this form

This form has to be completed to confirm your foreign tax status. This means you **must complete Section 3**, and provide any documents or information requested.

We're required to report information on your account to the Australian Taxation Office (ATO), who in turn will report this to the relevant tax authorities if:

- you're a tax resident of a country other than Australia, or
- you don't complete **Section 3**, and/or don't provide the documents or information requested in **Section 5 or 6**.

2. Personal details

Title

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

First name

Middle name

Family name

Date of birth (DD/MM/YYYY)

Residential address (PO Box is **not** acceptable)

Unit number

Street number

Street name

Suburb

State

Postcode

Country

3. Tax Status

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Please answer **both** tax residency questions:

Is the individual a tax resident of Australia?

☐ Yes ☐ No

Is the individual a tax resident of another Country?

☐ Yes ☐ No

3. Tax Status continued

If the individual is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

1. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>
2. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>
3. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>

☐ If there are more countries, provide details on a separate sheet and tick this box.

- Reason A** The country of tax residency does not issue TINs to tax residents
- Reason B** The individual has not been issued with a TIN
- Reason C** The country of tax residency does not require the TIN to be disclosed

If the individual is NOT a US tax resident, please go to section 4, otherwise please go to Section 7 to finalise the form.

4. Where were you born?

- Outside the US ☐ Please complete **Section 5**.
- In the US ☐ Please complete **Section 6**.

5. Born outside the US

You must provide one of the documents listed in the table below.

Any documents that are written in a language other than English, must be accompanied by an English translation prepared by an accredited translator.

Tick	Tick which of the documents you are providing
<input type="checkbox"/>	A copy of a passport, birth certificate or travel document issued by a non US government, the United Nations or an agency of the United Nations; or
<input type="checkbox"/>	A copy of a citizenship certificate issued by a non US Government; or
<input type="checkbox"/>	A copy of a driver’s licence issued by a non US Government agency.

Please go to **Section 7** to finalise the form.

6. Born inside the US

You must provide the documents and any explanation required as detailed in tables below.

Any documents that are written in a language other than English, must be accompanied by an English translation prepared by an accredited translator.

Tick	Select ONE option from this section
<input type="checkbox"/>	A copy of a passport or travel document issued by a non US government, the United Nations or an agency of the United Nations; or
<input type="checkbox"/>	A copy of a citizenship certificate issued by a non US Government.
AND	
Tick	Select ONE option from this section
<input type="checkbox"/>	A copy of your certificate of loss of Nationality of the United States; or
If you choose one of the following options, you must also provide details in the explanation box below.	
<input type="checkbox"/>	A reasonable explanation why you do not hold a certificate of loss of nationality; or
<input type="checkbox"/>	A reasonable explanation as to why you did not obtain US citizenship at birth
Please write your explanation here:	

Please go to **Section 7** to finalise the form.

7. Declaration

You or your financial adviser can complete this section.

By completing and signing this declaration, I certify that the information I have provided is true and correct.

Signature of Investor/ Policy Owner or Attorney

Name

Signature

	Date (DD/MM/YY)					
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If signed under Power of Attorney: Attorneys must attach a certified copy of the Power of Attorney and identification for themselves (go to mlc.com.au to download the relevant identification form) if not already supplied. The Attorney hereby certifies that he/she has not received notice of any limitation or revocation of his/her Power of Attorney and is also authorised to sign this form.

Power of Attorney documents can't be faxed.

OR

Financial adviser

By completing and signing this declaration I certify that the individual named in this form has verbally or in writing confirmed to me the truth of the information provided and I have no reason to doubt its reasonableness.

Name of financial adviser

Adviser number (include Division number if relevant)

Name of firm (licensee)

Contact telephone (business hours)

Email

Signature

	Date (DD/MM/YY)					
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

8. Send us your form

Please mail or fax your completed, signed and dated form together with any other documents requested, to:

PO Box 200
North Sydney NSW 2059

Fax: (02) 9964 3334

If you have any questions, please speak with your financial adviser, call us on **132 652** within Australia or on **+61 3 8634 4721** if calling from outside Australia, Monday to Friday between 8 am and 6 pm (AEST/AEDT) or visit mlc.com.au

Trustee

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ABN 80 008 515 633	ABN 30 002 641 661
AFSL 236465	AFSL 230705

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