



# Switch, re-weighting and recurring sell of illiquid investments

MLC Wrap  
MLC Navigator

Your adviser can process this request online.

We respect your privacy and handle your information in accordance with our privacy policy, available on [mlc.com.au/privacy](https://mlc.com.au/privacy)

We can only accept the form if it is correctly completed.

## 1. Your account details

Account number

Date of birth (DD/MM/YYYY) (if applicable)

Account name

Contact telephone (business hours)

Email

## 2. Your transaction details

Switch your investment  Please complete **Section 3**.

Re-weighting your investment  Please complete **Section 5**.

Recurring sell of illiquid investments  Please complete **Section 7**.

## 3. Fast track

We can switch a maximum of 75% of your account balance, before the sale of your investments are finalised, by deducting the requested amount from your Cash Account. We'll process your switch even if your Cash Account balance becomes negative, but you'll have to pay interest.

Fast track switches aren't available for certain investments, eg term deposits, illiquid and hedge funds. For full information on fast track, please see the relevant **How to Guide** available on [mlc.com.au](https://mlc.com.au)

Do you want to fast track this switch?

No

Yes

If a selection isn't made, we'll fast track your switch.

Please go to **Section 4**.







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## 5. Re-weighting details continued

Automatic re-weight is only available for Managed investments. Managed investments without daily pricing can't be automatically re-weighted.

### Target weight tolerance

Please specify the tolerance you want to apply. If a percentage is not specified we'll use 3%.

 %

### Re-weight frequency

Please specify the tolerance you want to apply. If a frequency is not specified we'll use half-yearly.

**Quarterly**  The re-weight will occur in February, May, August and November each year

**Half-yearly**  The re-weight will occur in February and August each year

**Yearly**  The re-weight will occur in August each year

Please go to **Section 8** to authorise your request.

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## 6. Adviser share transaction fee

This fee only applies to the sale or purchase of listed investments. Do you want an Adviser share transaction fee to apply on this transaction?

No

Yes  Please provide the details below.

\$  (maximum of \$110.00 per trade) **OR**  % (maximum of 1.10% of trade value)

I understand and consent to this amount being shared with other parties as outlined by my financial adviser. This fee is inclusive of GST.

Please go to **Section 8** to authorise your request.

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## 7. Recurring sell of illiquid investments

If you want to change your recurring sell status, please select the relevant option:

Remove a recurring sell instruction

Apply a recurring sell instruction

Please provide the details of the relevant investment options in the table below.

If you request a recurring sell on an investment, we'll sell all available units during the fund manager withdrawal offer periods, until all units have been sold. The FIFO tax optimisation method will be used for all recurring sales of illiquid investments.

APIR/ASC code	Investment name

Please go to **Section 8** to authorise your request.

## 8. Your agreement and declaration

### I agree:

If I have invested into an illiquid investment option, or an investment option I have has become illiquid, it may take longer than 30 days to switch out of the investment option.

There are times when Navigator Australia Limited or NULIS Nominees (Australia) Limited (each, as applicable, the "Provider") might not be able to process my switch out instructions, for example when:

- there are liquidity issues in the investment
- the fund manager suspends transactions
- the Provider can't obtain a price
- the instruction is incomplete, and
- unforeseen circumstances prevent the Provider from using our administration systems.

Before making this switch I have considered the information in the relevant disclosure documents available for the investment options I have selected. I have also reviewed the information in the relevant **How to Guide** or **Annual Report** about the management of my account. These documents are available on [mlc.com.au](http://mlc.com.au) or by **contacting us**.

### Signature of Investor one or Attorney

Full name (please print)

Signature

X	Date (DD/MM/YYYY)									

Please go to **Section 9**.

### Term Deposit

I understand that if I wish to access my Term Deposit prior to maturity I will be required to provide 31 days notice (in addition to the Provider's processing time) and pay the Term Deposit provider any economic costs associated with early access to my investment prior to maturity.

### For units held jointly

All parties must sign unless otherwise indicated on the original application form or as subsequently advised.

### On behalf of a Corporation

This form must be executed by one of the following methods:

- by signature of two directors or one director and the company secretary
- signature of the sole director and company secretary for sole director proprietary companies, or
- under Power of Attorney.

**If signed under Power of Attorney:** Attorneys must attach a certified copy of the Power of Attorney and identification for themselves (go to [mlc.com.au](http://mlc.com.au) to download the relevant identification form) if not already supplied. The Attorney hereby certifies that he/she has not received notice of any limitation or revocation of his/her Power of Attorney and is also authorised to sign this form.

Power of Attorney documents can't be accepted by fax or email.

### Signature of Investor two (if applicable) or Attorney

Full name (please print)

Signature

X	Date (DD/MM/YYYY)									

## 9. Send us your form

Please mail, email or fax your completed, signed and dated form to:

**MLC, GPO Box 2567, Melbourne VIC 3001**

**Email: [services@mlc.com.au](mailto:services@mlc.com.au)**

**Fax number: (03) 9869 1595**

If you have any questions, please speak with your financial adviser, call us on **132 652** Monday to Friday between 8 am and 6 pm (AEST/AEDT) or visit [mlc.com.au](http://mlc.com.au)

## 10. Financial adviser details

Name of the financial Adviser

Adviser number

Contact telephone number (business hours)

Fax number

Email