



Identification for Australian trusts and trustees

Account or Policy number(s)

You can also arrange for your financial adviser to identify you. If so, your adviser must complete the appropriate FSC Identification form.

We respect your privacy and handle your information in accordance with our Privacy Policy. The Insignia Financial Group's Privacy Policy is available at mlc.com.au/privacy, and the MLC Limited Privacy Policy is available at mlc.com.au/mlcinsuranceprivacypolicy

Section 1: Trust details

1 General details

Full name of trust

Full business name (if any) of the trustee

Country where trust established

2 Type of trust

Please select the type of trust. You can choose only **one**.

2.1 Regulated trusts

Please select the type of regulated trust

Self-Managed Superannuation fund
Provide the SMSF's ABN

Registered managed investment scheme
Provide Australian Registered Scheme Number (ARSN)

Government Superannuation fund
Provide name of the legislation establishing the fund

Unregistered managed investment scheme
Provide the scheme's ABN

Other regulated trust (A trust that is subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator such as an approved deposit fund, a pooled superannuation trust or an APRA-regulated superannuation fund)

Provide name of the regulator (e.g. ASIC, APRA, ATO)

Provide the Trust's ABN or registration/licensing details

Please go to **Section 2: Regulated trust details**

OR

2.2 Unregulated trusts

Full name of Settlor/s.

The person/s who settles the initial sum or assets to create the Trust.

Please select the type of unregulated trust.

Family trust Charitable trust Testamentary trust

Other

Provide description

Please go to **Section 3: Unregulated trust details**

Section 2: Regulated trust details

1 Trustee details

You need to provide details of **one** of your trustees (even if the Trust has a number of Trustees). Please choose the type of trustee.

Individual trustee

Surname	First name
<input type="text"/>	<input type="text"/>
Middle name	Date of birth (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>
Residential address (PO Box is not acceptable)	
<input type="text"/>	
<input type="text"/>	
Postcode	Country
<input type="text"/>	<input type="text"/>

Please go to **2 Tax information**

OR

Company trustee

Please complete the **Company details**, below.

Australian Company trustees should provide the information below. A Foreign Company trustee, must also complete the **Identification for a Foreign Company** in addition to this form. This also is available on mlc.com.au

Company details

Full name as registered by ASIC	ACN
<input type="text"/>	<input type="text"/>
Registered address (PO Box is not acceptable)	
<input type="text"/>	
<input type="text"/>	
Postcode	Country
<input type="text"/>	<input type="text"/>

Principal Place of Business (if any) (PO Box is **not** acceptable)

<input type="text"/>	
<input type="text"/>	
Postcode	Country
<input type="text"/>	<input type="text"/>

Company type

- Public** Companies whose name does **not** include the word Pty or proprietary; generally listed companies. Please go to **Regulatory/Listing details**
- Proprietary** Companies whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies. Please complete the details below.

Directors

To be completed for proprietary companies, not required for public companies as above.

Provide full name of each Director

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide a separate list.

Please go to **Beneficial owners**

Section 2: Regulated trust details continued

Regulatory/Listing details

If the company is regulated or listed, please select the relevant category (if applicable) and provide the information required.

<input type="checkbox"/>	Regulated company A company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator. In this context 'Regulated' means subject to supervision beyond that provided by ASIC as a company registration body. Examples include: <ul style="list-style-type: none">• Australian Financial Services Licensee (AFSL)• Australian Credit Licensees (ACL), or• Registrable Superannuation Entity (RSE) Licensees.	Regulator name <input type="text"/>
<input type="checkbox"/>	Australian Public Listed company A company that is listed on an Australian financial market such as the ASX.	Licence details <input type="text"/>
<input type="checkbox"/>	Majority owned subsidiary of an Australian Public Listed company A company that is majority owned by an Australian company that is listed on an Australian financial market such as the ASX.	Name of market/exchange <input type="text"/>
<input type="checkbox"/>		Australian listed company name <input type="text"/>
		Name of market/exchange <input type="text"/>

If you have selected one of the above regulated/listed company types above proceed to **2 Tax information**

if not, proceed to **Beneficial owners** below

Beneficial owners

To be completed for all companies that are not Australian Public Listed companies, majority owned by an Australian Public Listed company or Regulated Companies as per above.

Are there any individuals who ultimately owns or controls (directly or indirectly) through one or more shareholdings 25% or more of the company's issued share capital?

Yes Please complete **Shareholder beneficial owners**

No Please complete **Other beneficial owners**

Shareholder beneficial owners

Provide the names of the individuals who ultimately owns or controls (directly or indirectly) through one or more shareholdings 25% or more of the company's issued share capital.

Full name Date of birth (DD/MM/YYYY)

Residential address (PO Box is **not** acceptable)

<input type="text"/>	
<input type="text"/>	
Postcode	Country

Full name Date of birth (DD/MM/YYYY)

Residential address (PO Box is **not** acceptable)

<input type="text"/>	
<input type="text"/>	
Postcode	Country

3 Trust identification documents

We'll also need identification documents for your trust. Please check the list below and attach your documents to this form.

Tick (✓)	Please provide ONE of the following documents.
<input type="checkbox"/>	A copy of an offer document of the managed investments scheme (eg a copy of a Product Disclosure Statement)
<input type="checkbox"/>	A copy or relevant extract of the legislation establishing the superannuation fund sourced from a government website
<input type="checkbox"/>	Perform a search of the ASIC, ATO or relevant regulator's website (e.g. "Super Fund Lookup" at www.abn.business.gov.au)

Please go to **Section 4** for details of who can certify your documents.

Section 3: Unregulated trust details

1 Beneficiary details

Provide the names and/or class/es of the Trust's beneficiaries. Both the names and classes of beneficiaries must be provided (if the Trust has both named and class/es of beneficiaries).

Class/es of beneficiaries (e.g. unit holders, family members of named person, charitable organisations/causes)

Provide full name of each beneficiary

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more beneficiaries, please attach a separate list.

Section 3: Unregulated trust details continued

A Foreign Charity or an Active Non-Financial Entity (NFE) (Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interest and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org.)

Please go to **3.3 Country of Tax Residency**.

Other (Trusts that are not previously listed – Passive Non-Financial Entities)

Please proceed to **3.2 Foreign Controlling Persons**.

3.2 Foreign Controlling Persons

Are any of the Trust's Controlling Persons' tax residents of countries other than Australia

Yes No

If the Trustee is a company are any of this company's Controlling Persons tax residents of countries other than Australia

Yes No

*A Controlling Person is any individual who directly or indirectly exercises control over the Trust. For a Trust, this includes all Trustees, Settlers, Protectors or Beneficiaries. For a Trustee company this includes any beneficial owners controlling more than 25% of the shares in the company or Senior Managing Officials.

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If Yes to either of the two questions above, please provide the details of these individuals below and complete a separate Individual Identification Form for each Controlling Person (unless already provided as a Beneficial Owner).

Full given name(s)	Surname	Role (such as Trustee or Beneficiary, etc.)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more controlling persons, provide details on a separate sheet and tick this box.

Proceed to **3.3 Country of Tax Residency**.

3.3 Country of Tax Residency

Is the Trust a tax resident of a country other than Australia? Yes No

If Yes, please provide the Trust's country of tax residence and tax identification number (TIN) or equivalent below. If the Trust is a tax resident of more than one other country, please list all relevant countries below.

If No, please proceed to **4 Identification documents** to complete the form.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Employer Identification Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

1. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>
2. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>
3. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more countries, provide details on a separate sheet and tick this box.

Reason A The country of tax residency does not issue TINs to tax residents

Reason B The Trust has not been issued with a TIN

Reason C The country of tax residency does not require the TIN to be disclosed

For more information on FATCA /CRS go to ato.gov.au

4 Identification documents

You'll need to provide identification documents for your trust and ALL trustees. To identify the trustees please complete the relevant identification form available on mlc.com.au

Trust identification documents (for unregulated trusts only)

Tick (✓)	Please provide ONE document from this section
<input type="checkbox"/>	An original or certified copy of the Trust deed or if not reasonably available an original or certified extract of the Trust deed*

* An accredited translator is a person currently accredited by the National Accreditation Authority for Translators and Interpreters Ltd. (NAATI) at the level of Professional Translator, or above, to translate from a language other than English into English; or an overseas standard comparable to the Australian NAATI accreditation.

Please go to **Section 4** for details of who can certify your documents.

Section 4: Who can certify

A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)

- A Justice of the Peace
- A judge of a court
- A magistrate
- A chief executive officer of a Commonwealth court
- A registrar or deputy registrar of a court
- A notary public (for the purposes of the Statutory Declaration Regulations 1993)
- A police officer
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993) (eg bank manager, bank officer)
- A finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 1993)
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees (eg financial planner, adviser, broker)
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership

Acceptable certification of ID documents

Each copy of the ID must be certified by an approved certifier as follows:

The approved certifier must write:

- Full printed name of the "Approved Certifier" (eg Michelle Helena Citizen)
- Date the document was certified
- Signature of the approved certifier
- The capacity in which they have certified the document, eg police officer, etc.
- The Registration number (if applicable) of the certifier, and
- The following text:

If single page: This is to certify this is a true copy of the original which I have sighted.

If multiple page: I certify that this and the following (number of pages) are a true copy of the original which I have sighted.

Each following page must be initialled and dated.

Section 5: Send us your form

Please make sure you've completed and attached **all** the identification documents requested for your trust and/or trustees.

We won't be able to identify you unless we receive all the documents requested.

Please send this form with current **certified copies** of your identity documents to:

**PO Box 200
North Sydney NSW 2059**

If you have any questions, please speak with your financial adviser, or call us on **132 652** Monday to Friday between 8 am and 6 pm (AEST/AEDT).

Trustee

NULIS Nominees (Australia) Limited
ABN 80 008 515 633
AFSL 236465

Fund

MLC Super Fund
ABN 70 732 426 024

MLC Investments Limited
ABN 30 002 641 661
AFSL 230705

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