



Identification for Partnerships and Partners

Account or Policy number(s)

You can also arrange for your financial adviser to identify you. If so, your adviser must complete the appropriate FSC Identification form.

We respect your privacy and handle your information in accordance with our Privacy Policy. The Insignia Financial Group's Privacy Policy is available at mlc.com.au/privacy, and the MLC Limited Privacy Policy is available at mlc.com.au/mlcinsuranceprivacypolicy

Section 1: Partnership details

1 General information

Full name of partnership

Registered business name of partnership (if any)

Country where partnership established

2 Type of partnership

Select only **one** of the following partnership types and provide the information requested.

Is the partnership regulated by a professional association?

No Please go to **3 Beneficial ownership** below.

Yes Please provide details below.

Name of association

Membership details

3 Beneficial ownership

Are there any Individuals who own 25% or more of the shares or are entitled (either directly or indirectly) to exercise more than 25% or more of the voting rights, including power of veto?

Yes Please complete **3.1 Beneficial owners**

No Please complete **3.2 Other beneficial owners**

Trustee

NULIS Nominees
(Australia) Limited
ABN 80 008 515 633
AFSL 236465

Funds

MLC Super Fund ABN 70 732 426 024

Insurer

MLC Limited
ABN 90 000 000 402
AFSL 230694

MLC Investments
Limited
ABN 30 002 641 661
AFSL 230705

NULIS Nominees (Australia) Limited and MLC Investments Limited are part of the Insignia Financial Group of Companies, comprising Insignia Financial Ltd ABN 49 100 103 722 and its related bodies corporate (Insignia Financial Group). MLC Limited uses the MLC brand under licence. MLC Limited is part of the Nippon Life Insurance Group and is not a part of the Insignia Financial Group. Any references to 'we', 'us' and 'our' means MLC Limited and Trustee refers to NULIS Nominees (Australia) Limited.

Section 1: Partnership details continued

3.1 Beneficial owners

Are there any individuals who ultimately own 25% or more of the shares of the partnership (either directly or indirectly) or who exercise 25% or more of the voting rights of the partnership, including power of veto?

You'll need to provide individual customer identification forms for each of these individuals. The Identification for Individuals and Sole Traders form is available from mlc.com.au

Full given name

Surname

Proceed to **4 Partnership details**

3.2 Other beneficial owners

If there are no beneficial owners then provide the details of the most senior managing official of the partnership (such as the Managing Partner/Senior Managing Official or equivalent).

You'll need to provide individual customer identification forms for this individual. The Identification for Individuals and Sole Traders form is available from mlc.com.au

Full given name

Surname

Role (such as Senior Managing Partner)

4 Partnership details – all partnerships

Provide the name of one of the partners AND complete an individual customer identification form for this individual (unless this Partner has already provided identification from **3 Beneficial ownership**).

Full given name/Business name

Surname

5 Partnership details – unregulated

If the partnership is not regulated by a professional association provide the names and addresses of all the other partners.

Partner 1

Full name

Residential address (PO Box is **not** acceptable)

Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Country
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Partner 2

Full name

Residential address (PO Box is **not** acceptable)

Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Country
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Section 2: Tax Information

We are required to report details of partnerships applicable for tax purposes to the Australian Taxation Office (ATO) in accordance with the United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS).

1 Tax Status

Tick (✓) **one of the Tax Status boxes below** (if the Partnership is a Financial Institution, please provide all the requested information below)

Financial Institution (A custodial or depository institution, an investment entity or a specified insurance company for FATCA and CRS purposes)

Provide the Partnership's Global Intermediary Identification Number (GIIN), if applicable

If the Partnership is a Financial Institution but does not have a GIIN, provide its FATCA status. Please select **one** of the options below:

Deemed Compliant Financial Institution

Excepted Financial Institution

Exempt Beneficial Owner

Non Reporting IGA Financial Institution

Nonparticipating Financial Institution

US Financial Institution

Other (describe the Partnership's FATCA status in the box provided)

PLEASE ANSWER THE QUESTION BELOW FOR ALL FINANCIAL INSTITUTIONS

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution?

Yes No

If Yes, proceed to **2 Foreign Controlling Persons**. If No, Please go to **Section 3** to complete the form.

CRS Participating Jurisdictions are on the OECD website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction>.

An Active Non-Financial Entity (NFE) (Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interest and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org.)

If the Partnership is an Active NFE, please proceed to **3 Country of Tax Residency**.

Other (Partnerships that are not previously listed – Passive Non-Financial Entities)

Please proceed to **2 Foreign Controlling Persons**.

2 Foreign Controlling Persons

Are any of the Partnership's Controlling Persons* tax residents of countries other than Australia Yes No

* A Controlling Person is any individual who directly or indirectly owns or controls the Partnership and includes all Partners or Senior Managing Officials.

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If Yes, please provide the details of these individuals below and complete a separate Individual Identification Form for each Controlling Person (unless already provided in **Section 1, 3 Beneficial ownership** or **Section 1, 4 Partnership details**).

Section 2: Tax information continued

Full given name(s)	Surname	Role (Partner or Senior Managing Official)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more controlling persons, provide details on a separate sheet and tick this box.

Proceed to **3 Country of Tax Residency**.

3 Country of Tax Residency

Is the Partnership a tax resident of a country other than Australia? Yes No
(A Partnership created or established under the laws of a country other than Australia)

If Yes, please provide its tax identification number (TIN) or equivalent below. If it is a tax resident of more than one other country, please list all relevant countries below.

If No, please proceed to **Section 3** to complete the form.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

1. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>
2. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>
3. Country	TIN	If no TIN, list reason A, B or C
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more countries, provide details on a separate sheet and tick this box.

- Reason A** The country of tax residency does not issue TINs to tax residents
- Reason B** The Partnership has not been issued with a TIN
- Reason C** The country of tax residency does not require the TIN to be disclosed

For more information on FATCA or CRS, go to ato.gov.au

Please go to **Section 3**

Section 3: Partnership identification procedure

Identification of partnership

Attach a legible **certified copy** of ID documents from the options listed below.

Tick (✓)	Provide ONE document from this section
<input type="checkbox"/>	A certified copy or a certified extract of minutes of a partnership meeting where the officer bearers are appointed
<input type="checkbox"/>	A certified copy or certified extract of the partnership agreement

Identification of a partner and beneficial owners

You'll also need to provide an **Individual and Sole Traders** form for at least **one** of your partners and **all** beneficial owners, otherwise the identification for your partnership won't be complete. This can be found at mlc.com.au

Section 4: Who can certify?

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- A Justice of the Peace
- A judge of a court
- A magistrate
- A chief executive officer of a Commonwealth court
- A registrar or deputy registrar of a court
- A notary public (for the purposes of the Statutory Declaration Regulations 1993)
- A police officer
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993) (eg bank manager, bank officer)
- A finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 1993)
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees (eg financial planner, adviser, broker)
- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership

Acceptable certification of ID documents

Each copy of the ID must be certified by an approved certifier as follows:

The approved certifier must write:

- Full printed name of the "Approved Certifier" (eg Michelle Helena Citizen)
- Date the document was certified
- Signature of the approved certifier
- The capacity in which they have certified the document, eg police officer, etc.
- The Registration number (if applicable) of the certifier, and
- The following text:

If single page: This is to certify this is a true copy of the original which I have sighted.

If multiple page: I certify that this and the following (number of pages) are a true copy of the original which I have sighted.

Each following page must be initialled and dated.

Section 5: Send us your form

Please send this form with current **certified copies** of your identity documents to:

**PO Box 200
North Sydney NSW 2059**

If you have any questions, please speak with your financial adviser, or call us on **132 652** Monday to Friday between 8 am and 6 pm (AEST/AEDT).