

# Switch and Investment strategy

MLC MasterKey Investment Service Fundamentals

MLC MasterKey Investment Service

MLC MasterKey Unit Trust

You can update these details online. To do this login to [mlc.com.au](http://mlc.com.au)

Please don't photocopy this form as it contains unique information to help us process your request quickly.

## 1. Your account details

Account number

Customer number (if known)

Contact telephone (business hours)

Title

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

First name

Middle name

Family name

Email

Date of birth (DD/MM/YYYY) (if applicable)

## 2. Your switch type

Switch part of your investment

☐ Complete **Section 3**

Update your future investment and draw down strategy

☐ Complete **Section 4**

Switch your entire investment

☐ Complete **Section 5**

## 3. Switch part of your investment

Please use this section if you want to switch part of your existing investment to different investment options.

For full details of all investment options and who can invest in them please **see pages 5, 6 and 7**.

If you have more investment options, please attach a separate list.

A switch involving investments not managed by MLC may take a number of days to process. Please see investment tables on page 6 and 7 for relevant funds and any additional restrictions.

**Switch amount**  
(%, \$ or units)

**Switch from investment option**

Please write the full name of the investment option

**Switch to investment option**

Please write the full name of the investment option


If you want to switch more than 80% of the dollar value of an investment option (excluding the MLC Cash Fund), please specify your request in units. If you nominate a dollar value amount over 80%, we will convert this into units.

If you want to change your future additional investment and/or draw down strategy, please complete **Section 4**, otherwise please go to **Section 6**.

## 4. Future investment and draw down strategy

Please use this section if you want to change your future investment strategy and, or draw down sequence for fees and cash fund top ups.

**Draw down doesn't apply to the MLC MasterKey Unit Trust.**

For full details of all investment options and who can invest in them please, **see pages 5, 6 and 7.**

If you have more investment options, please attach a separate list.

Transactions involving investments not managed by MLC may take a number of days to process. Please see investment tables on page 6 and 7 for relevant funds and any additional restrictions.

Investment options Please write the full name of the investment option	Future <sup>1</sup> investment strategy	Draw down <sup>2</sup> sequence for fees & cash fund top ups 1, 2, 3 etc
	%	
	%	
	%	
	%	
	%	
	%	
	%	
	%	
	%	
Percentage amounts must total 100%.		<b>Total</b> %

<sup>1</sup> This investment strategy **will apply to all future additional and regular investments** unless you tell us otherwise.

<sup>2</sup> MLC MasterKey Investment Service Fundamentals and MLC MasterKey Investment Service only. The MLC Cash Fund must be the first option. The draw down sequence will also be used to top up the MLC Cash Fund when required.

Please go to **Section 6.**

## 5. Switch your entire investment

Please use this section if you want to switch your total existing investment. If you want to update your strategy for future additional investments and draw downs, please complete the relevant column in the table below.

For full details of all investment options and who can invest in them, please **see pages 5, 6 and 7.**

If you have more investment options, please attach a separate list.

A switch involving investments not managed by MLC may take a number of days to process. Please see investment tables on page 6 and 7 for relevant funds and any additional restrictions.

**If you change your total current investment, we will re-balance your account by selling and buying the minimum amount of units to achieve your new investment percentages.**

How much would you like to invest in each option?	Investment options Please write the full name of the investment option	Future <sup>1</sup> investment strategy	Draw down <sup>2</sup> sequence for fees & cash fund top ups 1, 2, 3 etc
%		%	
%		%	
%		%	
%		%	
%		%	
%		%	
%		%	
%		%	
%		%	
<b>Total</b> %	Percentage amounts must total 100%.	<b>Total</b> %	

<sup>1</sup> This investment strategy **will apply to all future additional and regular investments** unless you tell us otherwise.

<sup>2</sup> MLC MasterKey Investment Service Fundamentals and MLC MasterKey Investment Service only. The MLC Cash Fund must be the first option. The draw down sequence will also be used to top up the MLC Cash Fund when required.

Please go to **Section 6.**

## 6. Investment suitability

To assess which investment options are right for you, please refer to the relevant disclosure documents for each investment option selected for investment objectives and timeframes, and risk measures. Please respond to the following questions for all investment products you've selected:

The investments I've selected have a risk measure that I'm prepared to accept

Yes ☐ No ☐

I'm aware of the minimum suggested investment timeframe

Yes ☐ No ☐

If you don't answer, we'll presume your answers are 'Yes'.

## 7. Important information and signature

### By signing and submitting this form, you acknowledge:

- if your completed request is received before 3 pm (AEST/AEDT), it will usually be processed using the unit price for that business day (which is calculated at the end of the day)
- if your completed request is received after 3 pm (AEST/AEDT), it will usually be treated as having been received the next business day
- for the MLC MasterKey Investment Service Fundamentals and MLC MasterKey Investment Service, where investment options are not managed by MLC, it may take these investment managers more time to process this request
- where you have invested into an illiquid investment option or an investment option I have has become illiquid, we may take longer to transfer out of the investment option.

There are times when we might not be able to process your investment instructions, for example when:

- there are liquidity issues in the investment
- the fund manager suspends transactions
- we can't obtain a price
- the instruction is incomplete, and
- unforeseen circumstances prevent us from using our administration system.
- before making this change I have considered the information in the relevant Product Disclosure Statement and/or Financial Services Guide about the investment options I have selected. I have also reviewed the information on the management of my account in the **How to Guide**. (These documents are available on [mlc.com.au](http://mlc.com.au))

**Margin lender accounts:** You understand where a margin lender agreement is in place, MLC will require the margin lender to authorise any switch request otherwise my request will not be processed.

**For units held jointly:** All parties must sign unless otherwise indicated on the original application form or as subsequently advised to MLC.

**If signed by a duly Authorised Representative:** The Authorised Representative hereby certifies that he/she has not received notice of any limitation or revocation of his/her authority and is also authorised to sign this form.

**On behalf of a Corporation:** It must be executed by one of the following methods:


- by signature of two directors or one director and the company secretary;
- signature of the sole director and company secretary for sole director proprietary companies; or
- under Power of Attorney.

**If signed under the Power of Attorney:** Attorneys must attach a certified copy of the Power of Attorney if not already supplied. The Attorney hereby certifies that he/she has not received notice of any limitation or revocation of his/her Power of Attorney and is also authorised to sign this form.

Power of Attorney documents can't be accepted by fax.


### Signature 1 Investor or Attorney

Name

	Date (DD/MM/YY)					
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Signature 2 Investor or Attorney

Name

	Date (DD/MM/YY)					
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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## 8. Financial adviser details

Was personal financial advice provided with this application?

Yes ☐ No ☐

If you don't answer this question we'll assume the answer is 'No'.

Name of financial adviser

Adviser number

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Contact telephone number (business hours)

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Fax number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Email

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## 9. Your confirmation

**MLC MasterKey Investment Service Fundamentals and MLC MasterKey Investment Service accounts only.**

Would you like to be sent paper confirmation of this update? Up to date information is available from **mlc.com.au**:

☐ No

☐ Yes

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## 10. Send or email us your form

Please mail or email your completed, signed and dated form to:

**MLC**  
**PO Box 200**  
**North Sydney NSW 2059**

**Email: [contactmlc@mlc.com.au](mailto:contactmlc@mlc.com.au)**

If you have any questions, please speak with your financial adviser, call us on **132 652** Monday to Friday between 8.00 am and 6.00 pm (AEST/AEDT) or visit **[mlc.com.au](http://mlc.com.au)**

# MLC MasterKey Investment Service Fundamentals

## MLC MasterKey Investment Service

### MLC MasterKey Unit Trust

Investing with MLC	MLC MasterKey Investment Service Fundamentals Wholesale Funds <sup>1</sup>	MLC MasterKey Investment Service <sup>2</sup>	MLC MasterKey Unit Trust <sup>2</sup>
Investment options			
MLC Horizon 1 – Bond Portfolio	✓	n/a	n/a
MLC Horizon 2 – Income Portfolio	✓	✓	✓
MLC Horizon 3 – Conservative Growth Portfolio	✓	✓	✓
MLC Horizon 4 – Balanced Portfolio	✓	✓	✓
MLC Horizon 5 – Growth Portfolio	✓	✓	✓
MLC Horizon 6 – Share Portfolio	n/a	✓	✓
MLC Horizon 7 – Accelerated Growth Portfolio	n/a	✓	✓
MLC Real Return Assertive <sup>5</sup>	✓ <sup>3</sup>	✓ <sup>4</sup>	n/a
MLC Real Return Moderate <sup>6</sup>	✓	n/a	n/a
MLC Inflation Plus – Conservative Portfolio	✓	n/a	n/a
MLC MultiActive High Growth <sup>7</sup>	✓	n/a	n/a
MLC MultiActive Geared <sup>8</sup>	✓	n/a	n/a
MLC Cash Fund <sup>9</sup>	✓	✓	✓
MLC Diversified Debt Fund	✓ <sup>3</sup>	✓ <sup>4</sup>	n/a
MLC Property Securities Fund	✓	✓	✓
MLC Global Property Fund	✓ <sup>3</sup>	✓ <sup>4</sup>	n/a
MLC Global Share Fund	✓	✓	✓
MLC Australian Share Fund	✓	✓	✓
MLC Australian Share Index Fund	✓ <sup>3</sup>	✓	✓
MLC IncomeBuilder	✓	✓	✓

- 1 If you select these investment options, you will be selecting the wholesale version of this option, as listed in the Investment Menu available on [mlc.com.au/findafund](https://mlc.com.au/findafund)
- 2 If you select these investment options, you will be selecting the MLC MasterKey Unit Trust version of this option, found at [mlc.com.au/pds/mkut](https://mlc.com.au/pds/mkut)
- 3 If investing in this investment option you are referred to as a **Class A** investor in the relevant PDS.
- 4 If investing in this investment option you are referred to as a **Class B** investor in the relevant PDS.
- 5 Formally known as MLC Wholesale Inflation Plus Assertive portfolio, for MKISF investors
- 6 Formally known as MLC Wholesale Inflation Plus Moderate portfolio, for MKISF investors
- 7 Formally known as MLC Wholesale Horizon 6 Share portfolio, for MKISF investors
- 8 Formally known as MLC Wholesale Horizon 7 Accelerated Growth portfolio, for MKISF investors
- 9 For MLC Cash Fund, refer to the PDS available at [mlc.com.au/pds/mkut](https://mlc.com.au/pds/mkut)

# MLC MasterKey Investment Service Fundamentals

## MLC MasterKey Investment Service

### MLC MasterKey Unit Trust

Investment options not managed by MLC	MLC MasterKey Investment Service Fundamentals	MLC MasterKey Investment Service	MLC MasterKey Unit Trust
Investment options			
Altrinsic Global Equities Trust	✓	✓	n/a
Antares Elite Opportunities Fund	✓	✓	n/a
Antares High Growth Shares Fund	✓	✓	n/a
Ausbil Australian Emerging Leaders Fund	✓	✓	n/a
BlackRock Global Allocation Fund	✓	✓	n/a
Fairview Equity Partners Emerging Companies Fund	✓	✓	n/a
Investors Mutual Australian Share Fund	✓	✓	n/a
MLC – Platinum Global Fund	n/a	Rules apply <sup>10</sup>	Rules apply <sup>10</sup>
Macquarie Income Opportunities Fund	✓	✓	n/a
Perpetual Australian Share Fund	✓	✓	n/a
Perpetual ESG Australian Share Fund	✓	✓	n/a
Perpetual Smaller Companies Fund No. 2	✓	✓	n/a
PIMCO Diversified Fixed Interest Fund	✓	✓	n/a
PIMCO Global Bond Fund	✓	✓	n/a
Platinum Asia Fund	✓	✓	n/a
Platinum International Fund	✓	✓	n/a
PM CAPITAL Global Companies Fund	✓	✓	n/a
Schroder Wholesale Australian Equity Fund	✓	✓	n/a
Vanguard® Australian Fixed Interest Index Fund	✓	✓	n/a
Vanguard® Australian Property Securities Index Fund	✓	✓	n/a
Vanguard® International Shares Index Fund	✓	✓	n/a
Vanguard® International Shares Index Fund (Hedged)	✓	✓	n/a

**10** Available to those investors who already have a holding in this investment option. Once you have withdrawn all your investment balance from this option, it will no longer be available to you for future investments.

# MLC MasterKey Investment Service Fundamentals

## MLC MasterKey Investment Service

### MLC MasterKey Unit Trust

Closed – available only to existing investors in fund	MLC MasterKey Investment Service Fundamentals	MLC MasterKey Investment Service	MLC MasterKey Unit Trust
Investment options			
Alphinity Wholesale Concentrated Australian Share Fund	Rules apply <sup>10</sup>	Rules apply <sup>10</sup>	n/a
AMP Wholesale Global Equity – Value Fund	Rules apply <sup>10</sup>	Rules apply <sup>10</sup>	n/a
BlackRock Tactical Growth Fund	Rules apply <sup>10</sup>	n/a	n/a
First Sentier Wholesale Balanced Fund	Rules apply <sup>10</sup>	n/a	n/a
First Sentier Wholesale Imputation Fund	Rules apply <sup>10</sup>	n/a	n/a
OnePath Wholesale Balanced Trust	Rules apply <sup>10</sup>	n/a	n/a
BNP Paribas C Worldwide Global Equity Trust	Rules apply <sup>10</sup>	n/a	n/a
Perennial Value Shares Wholesale Trust	Rules apply <sup>11</sup>	Rules apply <sup>11</sup>	n/a
Perpetual Wholesale Balanced Growth Fund	Rules apply <sup>10</sup>	n/a	n/a
Perpetual Industrial Share Fund	Rules apply <sup>10</sup>	n/a	n/a
T. Rowe Price Global Equity Fund	Rules apply <sup>10</sup>	Rules apply <sup>10</sup>	n/a
UBS Australian Share Fund	Rules apply <sup>10</sup>	Rules apply <sup>10</sup>	n/a
UBS Income Solutions Fund	Rules apply <sup>10</sup>	Rules apply <sup>10</sup>	n/a
Vanguard® Australian Shares Index Fund	Rules apply <sup>11</sup>	n/a	n/a
Vanguard® International Fixed Interest Index Fund (Hedged)	Rules apply <sup>11</sup>	Rules apply <sup>11</sup>	n/a
Yarra Emerging Leaders Fund	Rules apply <sup>10</sup>	n/a	n/a

**11** Available to those investors who already have a holding in this investment option, however additional investments are no longer accepted. Once you have withdrawn all your investment balance from this option, it will no longer be available to you for future investments.